SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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	OMB Number: 3235-0287											
	Estimated average burden											
l	hours per response:	0.5										

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre		5		uer Name and Ticke		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
MEARS MI	<u>CHAEL N</u>				L	X	Director	10%	6 Owner		
(Last) (First) (Middle) 488 8TH AVENUE				e of Earliest Transa 1/2022	ction (Month/E	ay/Year)				Other (specify below)	
,			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) SAN DIEGO CA 92101							Line)	Form filed by Or Form filed by Me			
(City) (State) (Zip)								Person			
		Table I - Nor	n-Derivative S	Securities Acq	uired, Disp	osed of, or Benef	icially	Owned			
1. Title of Security	y (Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A	6. Ownership	7. Nature			

Code V Amount (A) or (D) Price Transaction(s) (Instr. 3 and 4) (Instr. 4)		Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	tion Date, Transaction Code (Instr.		Disposed Of (D) (Instr. 3, 4 and 5)				Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
				Code	v	Amount		Price	Transaction(s)		(1150.4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Phantom Shares ⁽¹⁾	(2)	04/01/2022		A		73.38		(3)	(4)	Common Stock	73.38	\$170.34	4,876.25 ⁽⁵⁾	D	

Explanation of Responses:

1. Phantom shares of Sempra Energy Common Stock acquired as director compensation.

2. Conversion of Derivative Security is 1 for 1.

3. Date Exercisable is immediate for shares that have vested.

4. Expiration date is Not Applicable.

5. Total includes 853.61 unvested restricted phantom shares that are subject to forfeiture if service as a director terminates prior to vesting for any reason other than death, disability or removal without cause.

MICHAEL N. MEARS BY: James M. Spira, Associate General Counsel of Sempra Energy and Attorney-in-Fact ** Signature of Reporting Person

04/04/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.