SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| \Box | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See |
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| | Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| hours per response: | 0.5 |
|--------------------------|-----|
| Estimated average burden | |

| | ss of Reporting Perso WILLIAM C | on [*] | 2. Issuer Name and Ticker or Trading Symbol <u>SEMPRA ENERGY</u> [SRE] | | ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner | | |
|---------------------------------|------------------------------------|---------------------|--|-------------------------|---|-----------------------|--|
| (Last) 488 8TH AVEN | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/12/2017 | Χ | Director Officer (give title below) | Other (specify below) | |
| (Street) SAN DIEGO (City) | CA (State) | 92101 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indivi Line) X | idual or Joint/Group Filing Form filed by One Repor Form filed by More than Person | ting Person | |
| | т | able I - Non-Deriva | ative Securities Acquired, Disposed of, or Benefi | cially (| Owned | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
|---------------------------------|--|---|------------------------------|--------|--|-------|---|---|---|--|
| | Ca | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | (0.9.) | | | | | | | | | | | | |
|---|---|--|---|------------------------------|---|--|---------------------------------|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Numl of Derivati Securiti Acquire (A) or Dispose of (D) (I 3, 4 and | ive ies ed ed nstr. | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative Security curity (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Phantom Shares | (1) | 05/12/2017 | | A | | 537.59 | | (2) | (2) | Common Stock | 537.59 | \$0 | 537.59 | D | |

Explanation of Responses:

1. Each share of restricted phantom stock is the economic equivalent of one share of Sempra Energy Common Stock.

2. Shares are subject to forfeiture if service as a director terminates for any reason other than death, disability or removal without cause prior to the 2018 Annual Meeting of Sempra Energy Shareholders. Vested shares plus reinvested dividend equivalents are paid to the director in cash following separation of service.

Remarks:

WILLIAM C. RUSNACK BY:

<u>James M. Spira, Associate</u> <u>General Counsel of Sempra</u> <u>Energy and Attorney-In-Fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.